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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	10/769,598
		Filing Date	January 30, 2004
		First Named Inventor	Peter C. Zhu
		Art Unit	1617
		Examiner Name	Chong, Yong Soo
Total Number of Pages in This Submission	9	Attorney Docket Number	56301P5007

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Twenty-one (21) cited references</div>
Remarks Page count does not include cited references.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brent E. Vecchia, Reg. No. 48,011 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Brent E. Vecchia</i>
Date	May 15, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Pat Sullivan		
Signature	<i>Pat Sullivan</i>	Date	May 15, 2006



FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/769,598
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Art Unit	1617
Attorney Docket No.	56301P5007

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)				(\$)	180.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Brent E. Vecchia	Registration No. (Attorney/Agent)	48,011	Telephone	(303) 740-1980
Signature				Date	05/15/06



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

PETER C. ZHU, ET AL.

Application No.: 10/769,598

Filed: January 30, 2004

For: GERMICIDAL COMPOSITIONS CONTAINING PHENYLMALONALDEHYDE-TYPE
COMPOUNDS, OR MIXTURES OF PHENYLMALONALDEHYDE-TYPE
COMPOUNDS AND PHTHALALDEHYDES, AND METHODS OF USING SUCH
COMPOSITIONS FOR DISINFECTION OR STERILIZATION

Art Group: 1617

Examiner: Chong, Yong Soo

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed are the Information Disclosure Statement by Applicant (form PTO/SB/08 or PTO-1449) and copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed), which are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

05/18/2006 FFANAEIA 00000098 10769598

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Date: May 15, 2006


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Pat Sullivan

05-15-06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number 10/769,598
 Filing Date January 30, 2004
 First Named Inventor Peter C. Zhu
 Art Unit 1617
 Examiner Name Chong, Yong Soo
 Attorney Docket Number 56301P5007

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,662,941	09-02-1997	Bourbon et al.	
		US-5,800,838	09-01-1998	Bourbon et al.	
		US-6,096,698	08-01-2000	Milling	
		US-1,901,704	03-14-1933	Delles	
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		US-6,429,220	08-06-2002	Yagi et al.	
		US-2004/0071653	04-15-2004	Bratescu et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		RU 2020964 C1	10-15-1994	Buyanov et al.		
		SU 1395329 A	05-15-1988	Butko et al.		
		WO 95/16023	06-15-1995	UNILEVER PLC		

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Inventor	Peter C. Zhu
Art Unit	1617
Examiner Name	Chong, Yong Soo
Attorney Docket Number	56301P5007

US PATENT DOCUMENTS

Examiner Initial *	US Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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	US-3,016,328	01/09/1962	Pepper, Rollin E., et al.			01/03/1961
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Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) : Applicant is to place a check mark here if English language Translation is attached

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Application Number 10/769,598
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 First Named Inventor Peter C. Zhu
 Art Unit 1617
 Examiner Name Chong, Yong Soo
 Attorney Docket Number 56301P5007

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-0292300	11/12/1988	Bruckner, Normal I.			
	EP-0292301	11/23/1988	Bruckner, Norman I., et al.			
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	WO-0024761	05/04/2000	Weichert, Andreas, et al.			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ADVANCED STERILIZATION PRODUCTS, "CIDEX® OPA Solution, And Speed Has Its Advantages", (2001),1	
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		LI, MINGWEI, et al., "Preparation of Aromatic Polyaldehydes by Hydrosis of Gem-Dibromides", <u>Beijing No. 1 Light Ind. Indst., Beijing, Peoples Republic of China Huaxue Chiejie</u> , 26(5). Journal written in Chinese (Abstract Translated),(1985),168-170	
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		RUBBO, S.D., "Biocidal Activites of Glutaraldehyde and Related Compounds",	

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DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

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		First Named Inventor	Peter C. Zhu
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		Attorney Docket Number	56301P5007
Sheet	4	of	11

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		Symposium of Chemical Disinfection: Paper VIII, J. Appl. Bact. 30(1), (1967), 78-87	
		SAGRIPANTI, JOSE-LUIS, "Effects of Salt and Serum on the Sporicidal Activity of Liquid Disinfectants", Journal of AOAC International, Vol. 80, No. 6, (1997), 1198-1207	
		SETLOW, B., "Mechanisms of Killing Spores of Bacillus Subtilis by Acid, Alkali and Ethanol", Journal of Applied Microbiology (92), (2002), 362-375	

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